



South Carolina
Workers' Compensation Commission

SC Electronic Data Interchange
(EDI)
Proof of Coverage R2.1 Implementation Guide

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Preface

This Implementation Guide is designed to assist Insurers and Service Providers with the transition from paper filing to electronic filing of PC-1 and PC-2 records. The Guide will also serve as a tool during the EDI set up process for reporting POC records to the State of SC Workers' Compensation Commission.

If there are any questions about any of the information provided in this guide, please contact:

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Acronyms

The following list will be useful when reading through this guide. These acronyms are used often throughout the guide.

AKP	Acknowledgement Report
DN	Data Element Number
EDI	Electronic Data Interchange
FEIN	Federal Employer Identification Number
FTP	File Transfer Protocol
IAIABC	International Association of Industrial Accident Boards and Commissions
NCCI	The National Council on Compensation Insurance
TA	Transaction Accepted
TE	Transaction Accepted with Errors
TPP	Trading Partner Profile
TR	Transaction Rejected
WCC	Workers' Compensation Board

Websites

The following links will take you to websites that are referred to multiple times within the Implementation Guide.

South Carolina Worker's Compensation Commission Website:

<http://www.wcc.sc.gov>

This is the official South Carolina WCC website. You will find links to trading partner documents, information on the EDI Rules, and more.

You will find updates and more information about Coverage EDI on the coverage page of this site. The completed POC tables (element requirement, event and edit matrix) can also be found here.

IAIABC Website:

<http://www.iaabc.org/edi/implementation.asp>

This link will bring you directly to the EDI Implementation page on IAIABC website. You will find information such as release guides, trading partner tables, event tables, element requirement tables and more. Please note that the State of South Carolina WCC supports the POC Release 2.1 Version of the IAIABC Implementation Guide.

NCCI Website:

<http://www.ncci.com>

The National Council on Compensation Insurance is the authorized agent of the Commission for filing coverage reports issued by insurance carriers providing workers'

compensation insurance in South Carolina. This website will direct you regarding NCCI filing requirements.

Electronic Data Interchange Rules

The SC Workers' Compensation Commission has been receiving Coverage data directly from NCCI electronically since July 1, 1989. In order to improve data transmission and quality, the Commission is now converting to the current IAIABC Proof of Coverage 2.1 standard. Coverage data will continue to be transmitted directly from NCCI.

Coverage POC Testing and Production Deadlines

At this time, the SC WCC is mandating electronic filing of POC records through the NCCI. Coverage POC electronic filing will begin production with NCCI beginning January 1, 2010. For more information please contact Amanda Underhill.

NCCI Coverage Forms Required

The State of SC WCC will focus on the electronic submission of the IAIABC POC R2.1 standard through NCCI at this time. Carriers should continue reporting to NCCI electronically or using the appropriate NCCI forms, where applicable to meet the IAIABC POC R2.1 standard and SCWCC element requirements.

Electronic Data Reporting Format

The SC WCC uses IAIABC POC Release 2.1 for all Coverage EDI submissions. The IAIABC Implementation Guide contains helpful information such as Transaction Standards found in Section 2, Business and Technical Process Rules found in Section 4, Business Scenarios found in Section 5, and a Data Dictionary found in Section 6. The guide can be found on the IAIABC website.

EDI Communication

The SC WCC accepts all Coverage EDI communication through NCCI. All transactions will go through a secure FTP site.

Acknowledgement Reports:

For every file that is sent to the WCC, NCCI will receive an AKP report confirming receipt of the file. The AKP report will also contain information regarding each specific transaction record within the batch indicating whether the transaction was accepted (TA), accepted with errors (TE) or if it failed (TR).

Acknowledgement reports will be transmitted to carriers by NCCI.

Information and Data Reported

Each piece of information for the electronic POC is defined as a data element. Please refer to the Section 6 of the IAIABC Coverage Release 2.1 EDI Implementation Guide for definitions of each data element.

POC Data Element Requirement Table

The SCWCC POC Data Element Requirement Table is located on the SCWCC website under IAIABC POC Rel 2.1 Information and Updates. The table expresses the WCC requirements for both business and technical data.

Transaction Structure

The transaction structure is a technical identification for all transactions sent. You will find more detailed information on the structures in the IAIABC Guide. You will learn about triplicate codes, the three match data criteria as well as paired transactions. Refer to Section 2 of the IAIABC Coverage Release 2.1 Implementation Guide for more information on proper transaction structure.

Triplicate Codes

A triplicate code is a code that identifies the type of coverage transaction that is sent to the SCWCC. A triplicate code is made up of three key data elements; the Transaction Set Purpose Code (DN0300), the Transaction Set Type Code (DN0334), and the Transaction Reason Code (DN0303). The triplicate code is found on the Insured Record (PC-1). The following triplicate codes are accepted by the SCWCC at this time:

Triplicate Code	Type of Transaction
00-05-01	Binder-w/Phys Loc
00-05-80	Binder-No Phys Loc
00-05-86	Binder-Employer w/o Juris Addr
00-10-01	New Policy-w/Phys Loc
00-10-80	New Policy-No Phys Loc
00-10-86	New Policy-Employer w/o Juris Addr
00-20-01	Renewal-w/Phys Location
00-20-80	Renewal-No Phys Location
00-20-86	Renewal-Employer w/o Juris Addr
00-31-54	Add Location(s)
00-31-87	Add Employer(s) w/no Juris Addr
00-31-72	Add Jurisdiction -w/Phys Location
00-31-80	Add Jurisdiction No Phys Location
00-31-86	Add Jurisdiction-Employer w/o Juris Address
00-32-67	Corporate Officer/Sole Proprietor Inclusion

00-32-68	Corporate Officer/Sole Proprietor Exclusion
00-32-84	Change Insured Level data elements
00-33-56	Delete Location(s)
00-33-87	Delete Employer w/o Juris Addr
00-33-73	Delete Jurisdiction
00-41-59	Cancel-Insurer/Non Payment
00-41-71	Cancel-Insurer/Rewrite/Reissue
00-41-64	Cancel-Insurer/Underwriting Reason
00-41-66	Cancel-Insurer Revocation of Voluntary Market Acceptance
00-41-69	Cancel-Insurer/Failure to Pay Deduct
00-41-70	Cancel-Insurer/Misrep of Info on App
00-42-60	Cancel-Insured/Cov Placed Elsewhere
00-42-61	Cancel-Insured/Duplicate Coverage
00-42-63	Cancel-Insured/Business Sold
00-42-62	Cancel-Insured/Change of Ownership
00-42-45	Cancel-Insured/Out of Business
00-42-01	Cancel-Insured/Reason Unknown
00-50-01	Rewrite/Reissue-w/Phys Loc
00-50-80	Rewrite/Reissue-No Phys Loc
00-50-86	Rewrite/Reissue-Employer w/o Juris Address
00-60-01	Non-Renewal by Insured – Reason Unknown
00-60-45	Non-Renewal by Insured-Out of Business
00-60-59	Non-Renewal by Carrier- Non-payment
00-60-60	Non-Renewal by Insured-Coverage Placed Elsewhere
00-60-62	Non-Renewal by Insured-Change of Ownership
00-60-63	Non-Renewal by Insured-Business Sold
00-60-64	Non-Renewal by Carrier-Underwriting Discretion
00-60-65	Non-Renewal by Insured-No Employees/ No Exposure/No Operations
00-70-01	Reinstatement

Paired Transactions

A paired transaction is a transaction in which two parts are required. The first part of the transaction is the change (04) and the second part is the replace (05). The following is a list of the paired transactions that are accepted by the SCWCC:

Paired Transaction	Type of Transaction
04-32-85 05-32-85	Change Employer Name, Address, Industry Code, # of Employees
04-32-94 05-32-94	Change Name of Employer w/no jurisdiction address
04-32-77 05-32-77	Change Employer FEIN
04-32-96	Change FEIN of Employer

05-32-96	w/no jurisdiction address
04-32-79 05-32-79	Change Policy Number
04-33-76 05-32-76	Change Erroneous Insured FEIN
04-32-81 05-32-81	Change Erroneous Effective Date
04-32-82 05-32-82	Change Erroneous Expiration Date
04-32-83 05-32-83	Change Erroneous Expiration Date

Transaction Sequencing

Transaction sequencing refers to the order in which the triplicate codes above, including the paired transactions, must be sent in. For example, a new binder requires that there is no previous transaction for the policy that is being sent in. For more detailed information on transaction sequencing, please see pages 4-3.1 through 4-3.22 in Section 4 Business/Technical Process Rules of the IAABC Implementation Guide on their website.

POC Data Element Conditionals and Business Rules

Business rules that apply to specific data elements are also required when the data element on the table contains the second indicator of “C” or Conditional. There are two types of conditionals, Mandatory Conditional (MC) and Expected Conditional (EC). The rules and specific data elements that are identified on the data element requirement table as conditional elements are on the following pages.

PC-1 Mandatory Conditional Data Element

MC data elements are mandatory data fields if the condition exists in the transaction. To clarify what that means, look at the prior policy number below. For the three trip codes provided, the prior policy number is a mandatory field only if there was a binder previously sent for the same insured.

Data Element Name	Number	Condition	Trip Codes
Prior Policy Number	DN0324	Mandatory if binder was previously sent for insured.	00-10-01 00-10-80 00-10-86
Assignment Date	DN0325	Mandatory if Business Market (DN0321) is Assigned Risk (2-Involuntary).	00-05-01 00-05-80 00-05-86 00-10-01 00-10-80

			00-10-86 00-20-01 00-20-80 00-20-86 00-31-72 00-31-80 00-31-86 00-50-01 00-50-80 00-50-86
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PC-2 Mandatory Conditional Data Element

Data Element Name	Number	Condition	Trip Codes
Employer FEIN	DN0016	On paired change transactions, the 04 portion of the transaction must reflect the same information previously accepted by the jurisdiction and the 05 reflect a change.	04-32-83 05-32-83 04-33-76 05-32-76 04-32-79 05-32-79 04-32-81 05-32-81 04-32-82 05-32-82 04-32-77 05-32-77 04-32-96 05-32-96 04-32-85 05-32-85 04-32-94 05-32-94

Glossary

Acknowledgement Record: A transaction returned by the jurisdiction as a result of a report sent. It contains enough data elements to identify the original transaction and any technical and business issues found with it.

Edit Matrix: A table identifying edits to be applied to each data element. Senders will apply before submitting a transaction and receivers will confirm during processing.

Element Requirement Table: A specific list of requirement codes for each data element depending on transaction type and jurisdiction.

File: A set of records containing one header record, one or more detail transactions, and one trailer record.

Format: The technical method used to exchange information.

Header: Precedes each batch of data. It is the first record in every batch. It uniquely identifies the sender, receiver, the date and time the batch was prepared, whether the batch contains test or production data and the transaction types/IAIABC Release contained within the batch.

IAIABC: International Association of Industrial Accident Boards and Commissions is a group comprised of jurisdictions, insurance carriers, and vendors who are involved in workers' compensation.

PC-1: Insured Record

PC-2: Employer Record

Production: A trading partner is sending production data, or real claims. The data is loaded into the jurisdiction production system.

Trading Partner: An entity that has entered into an agreement with another entity to exchange data electronically

Trading Partner Agreement: A set of expectations and responses between two entities exchanging data electronically. These expectations include, but are not limited to, what transactions to send, what format to use, what data elements to include, when and where data elements are to be sent, , and testing to be performed.

Trailer: Designates the end of a batch of transactions. It provides a count of records and/or transactions within a batch. The trailer record is used to ensure that the entire batch is complete and valid.

Transaction: The communication of data that represents a single business event. A transaction consists of at least one record.

Transmission: Consists of one or more batches sent or received during a communication session.